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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,076	08/02/2001	Steven A. Weiss	31069-pa

CONFIRMATION NO. 7991

FORMALITIES LETTER



OC000000006437938

Bernhard Kreten, Esq.
Bernhard Kreten, & Associates
Suite 245
77 Cadillac Drive
Sacramento, CA 95825

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Robert M. Dickenson, Richard J. Schneider
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/22/2001 BNGUYEN1 00000139 09921076

01 FC:105

130.00 OP



#9

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Applicant: Steven A. Weiss

Serial No.: 09/921,076

Filed: August 2, 2001

For: Gaming Device and Method

Paper:

1. Response to Notice to File Missing Parts of Nonprovisional Application (responsive to the Notice to File Missing Parts of Nonprovisional Application dated October 24, 2000) (original and one copy);
2. Notice to File Missing Parts of Nonprovisional Application (Part 2 - copy to be returned with response);
3. Declaration for Patent Application (originally executed);
4. Declaration for Patent Application (copy of declaration filed with parent application);
5. A check in the amount of \$130.00, which reflects the government surcharge for filing this response to Notice to File Missing Parts of Nonprovisional Application; and
6. A Return Receipt card.

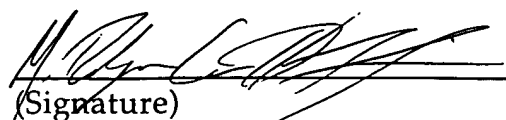
11/14/2001 TV0111 00000011 111734 09921076
01 FC:115 110.00 CH

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner of Patents and Trademarks
Box Missing Parts
Washington DC 20231

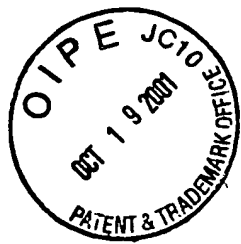
on October 15, 2001.

M. Robyn Carrillo-Heian


(Signature)

October 15, 2001

(Date of Signature)



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Steven A. Weiss
SERIAL NO.:	09/921,076
FILED:	August 2, 2001
FOR:	A Gaming Device Having First Chance Means and Serially Dependent Second Chance Means

To: Assistant Commissioner for Patents
Attn.: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION

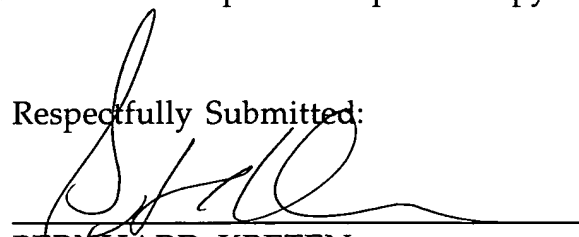
Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed August 16, 2001, kindly enter the enclosed originally signed Declaration for Patent Application into the above-identified case. This application is a continuation-in-part of application serial number 08/950,280, filed October 14, 1997. Robert M. Dickenson and Richard J. Schneider are inventors in the parent application, but are not inventors with respect to the new matter in the current application. Mr. Dickenson's signature has been obtained. Mr. Schneider, however, refused to sign a declaration unless he was provided with review of the entire application, including the new matter. This review was unfeasible, because Mr. Schneider is now employed by a competitor of the assignee of the application. Mr. Schneider's last known address is Acres Gaming, 7115 Amigo Street, Suite 150, Las Vegas, Nevada, 89119. Mr. Schneider should therefore be deemed unavailable. Moreover, a copy of his Mr. Schneider's original declaration for the parent is

included herewith. Because he was not a contributor for the new material, this should suffice.

A check in the amount of \$130.00 is enclosed, to be received for the surcharge fee with respect to this Notice to File Missing Parts of Nonprovisional Application. However, if additional fees still remain due, it is requested that such fees be charged to deposit account no. 11-1734, Attorney Docket No. 31069-pa. A duplicate copy of this Response is enclosed.

Dated: October 15, 2001

Respectfully Submitted:

A handwritten signature in black ink, appearing to read 'B. Kreten', is written over a horizontal line.

BERNHARD KRETEN
Applicant's Attorney
Telephone (916) 921-6181
Registration No.: 27,037